

CRISPR-CAS 9: AN EVOLVING TECHNOLOGY OF GENE EDITING

Abstract

Gene editing, one of the genetic engineering techniques which involves modification of genomic DNA. There are many gene editing techniques discovered till now. Out of which, CRISPR/Cas9 technology has gained more identity, CRISPR stands for Clustered Regularly Interspaced Short Palindrome Repeats. CRISPR/Cas9 technology has gained more acceptances in recent years because it has simple design, cost efficient, high efficiency and simple operation. CRISPR/CAS 9 technology is a unique technology that is catching the eyes of all researchers in today's world. This article focuses on the structure, mechanism of gene editing and to spotlight the importance of this technology in today's world.

Keywords: Gene editing, CRISPR, ZFN, TALEN, Cas9, Off-target effect, Bioethical issues, CRISPR-Cas9 applications.

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I. INTRODUCTION

Genetic engineering is a technology in which a target sequence of DNA present in genome is modified using numerous approaches [20]. Gene editing is a sort of genetic engineering technique. Gene editing, a new origin in the stream of sciences, is a technique that is used to explore all biological events. This technique involves modification of DNA through host cellular machinery that causes explicit breaks in the gene at target sites.[16]. It involves the changes of genomic DNA at a particular target site to achieve knock-in and knock-out of DNA. Nucleases are convincing genomic tools that are used to accurately edit genes with high efficiency. Gene editing tool became apparent in early 90's. Since then, many other methods have been developed for gene editing. In broad, three systems have been developed for gene editing. These include (Zinc Finger Nucleases) ZFN's, (Transcription-Activator Like Effector Nucleases) TALEN's, (Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR)).[12]

- 1. Zinc Finger Nucleases (ZFN's):** Zinc Finger Nucleases (ZFN's) are nucleases, consisting of two functional active domains namely domain for DNA -Cleavage and domain for DNA binding which further consists of cysteine and histidine zinc fingers that targets three base pairs and a catalytic domain of the nuclease which is non-specific in nature. The difficulty in the interaction between zinc fingers and DNA, substantiation of such proteins for particular sequence of DNA. led to the origin of other gene editing techniques.[16]
- 2. Transcription-Activator Like Effector Nucleases (TALEN's):** Like ZFN's, TALEN's are also composed of repeats of transcriptional activator like effectors(TALE) made from arrays of 33-35 amino acid modules and restriction endonuclease originated from *Xanthomonas* which is a plant pathogen[16]. Comparative to ZFN's, TALEN's are cost-effective, precise, faster. TALEN's consist of Double stranded breaks (DSB's) in target gene which has potential to repair. The main problem associated with using TALEN's is synthesis, design, reduced efficiency, complexity, lack of specificity.[12]
- 3. CRISPR/Cas 9 System:** With advent of CRISPR-Cas 9 technology in the 21st century, it evolved as a magnificent tool because it has advantages over ZFN's, TALEN's. CRISPR/Cas9 can be abbreviated as Clustered Regularly Interspaced Short Palindromic Repeats. It adapted defence mechanism from bacteria which forms the basis of this gene editing technology. The two prerequisite components of CRISPR/Cas9 system are cas9 enzyme and guide RNA. The complex of cas9 enzyme and guide RNA which facilitates the modification of gene [7]. This technology is widely used in comparison to other two techniques because it is easy to perform while other techniques are complex in nature [4]. Constant studies in CRISPR-Cas system lines up the applications of CRISPR in various other fields too. It is non-rigid, simple, effective and affordable system. These advantages made CRISPR -Cas 9 system better over other two techniques.

II. HISTORY

Before the discovery of CRISPR/Cas9, there were many gene editing techniques being in use such as ZFN,TALEN. These two techniques were difficult to execute and even time consuming. So, CRISPR/Cas9 technology has gained huge acceptance due to its simple

design, low cost, high efficiency and simple operation [7]. Later, the origin of CRISPR technology made gene editing process more easier. In 1987, a Japan scientist Ishino accidentally discovered unusual series of repeated palindromic sequences that are interrupted by spacers in E.coli, but its function was not known by then. Later in 1990, Francisco Juan Martinez Mojica, identified sequences which look similar in prokaryotes. In 2012, Jennifer Doudna and Emmanuelle Charpentier discovered the use of CRISPR/Cas9 as an efficient gene editing tool of gene editing. [7]. In the year 2020, Nobel prize was awarded in chemistry for development of gene editing tool [2]. The development of CRISPR technology has led the way to gene editing.

III. STRUCTURE OF CRISPR/CAS9

Depending upon structural and functional characteristics of cas proteins, CRISPR/Cas systems divided into two classes namely Type 1 and Type 2. Compared to type 1, type 2 is relatively simple. So, it is widely used. Cas9 protein is most commonly used in CRISPR technology. It is a large multi domain CRISPR associated DNA endonuclease. The main function of cas-9 protein is to cleave the specified DNA sequence which results in the formation of double-stranded breaks. So they are usually called as molecular scissors/genetic scissors. Cas-9 consists of two neck parts namely the lobe for recognition (REC) and the lobe for nuclease (NUC). REC lobe consists of REC1 and REC2 domain which are useful for guide RNA (sgRNA) binding. NUC lobe consists of RuVC, HNH and Protospacer Adjacent Motif (PAM) interacting domain. RuVC, HNH domains are together used to cut each ssDNA while PAM interacting domain confers specificity to PAM which is also responsible for initiating binding to target DNA sequence. Guide RNA is a combination of two parts namely CRISPR RNA (Cr RNA) and Transactivating CRISPR RNA (tracr RNA). CrRNA specifies the target DNA by pairing with the target sequence while tracrRNA is a big stretch of loops and senses and acts as functional RNA for cas 9 enzyme [4]. Cr RNA further contains two main parts, the spacer sequence that directs the complex to the target DNA and a region that binds to tracrRNA.

IV. MECHANISM OF CRISPR/CAS9 BASED GENE EDITING

The mechanism of CRISPR/cas9 gene editing mainly involves three main steps namely recognition, cleavage, repair [4].

- **Step 1: Recognition** – The PAM sequences of NUC lobe of cas9 helps in the identification of cas9 protein which is also responsible for initiating binding to target DNA. It allows sgRNA which is also linked to tracrRNA to identify target site.
- **Step 2: Cleavage** – The recognition makes sure that the cas9 protein activates the breakdown of the target site. This commonly occurs at -3 and -4 upstream regions of the PAM. This cleavage further causes production of DNA double-strand breaks (DSB). The HNH domain and RuVC domain of NUC lobe of cas9 helps in the breakdown of complementary and non-complementary strands of target sequence correspondingly which further leads to the production of blunt-ended double stranded breaks (DSB's) [4].
- **Step 3: Repair** - The double stranded breaks (DSBs) are repaired by two mechanisms namely, non-homologous end joining (NHEJ) and homologous direct repair (HDR). Cas9 protein along with guide RNA targets respective gene which

causes cleavage of the strand and forms double-stranded breaks. This cut is repaired by either NHEJ mechanism or Homology direct repair.

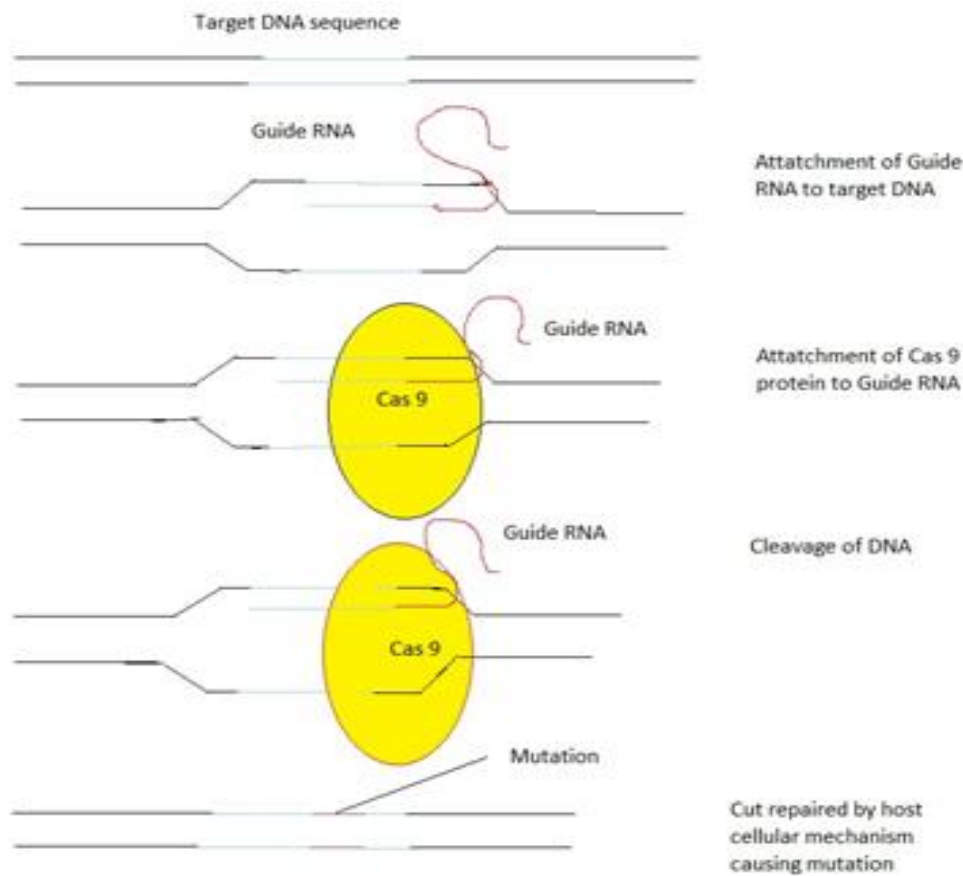
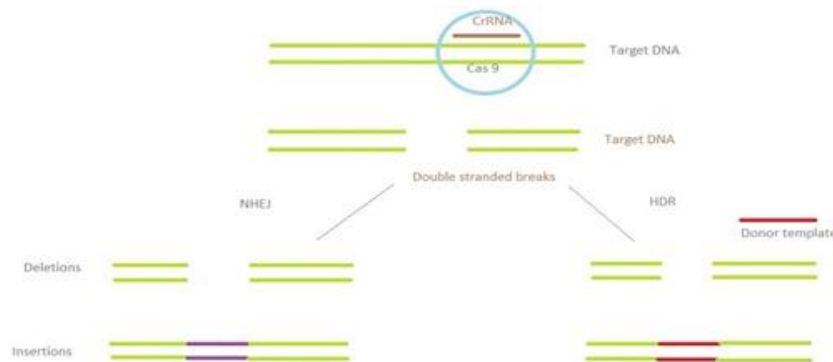


Figure 1: Mechanism of CRISPR/Cas9 gene editing [9]

- 1. Non-Homologous End Joining (NHEJ):** It is the foremost and able repair mechanism. This kind of repair mechanism involves the closing of space directly by joining two non-homologous strands which are opposite to each other. In all phases of cell cycle it is active in nature [7]. The main disadvantage of non-homologous end joining is loss of required pieces of genetic information during the process [5]. This is an error-prone mechanism as this could lead to loss of necessary pieces in the process, which may be lost in further sequences too, causing mutation in the gene [7].
- 2. Homologous Direct Repair (HDR):** Homologous direct repair (HDR) mechanism is highly accurate and it involves the use of DNA template. The gaps are not directly closed. Homologous direct repair adopted the mechanism of recombination [5]. In this method, Fig 2: DNA damage repair by Non-homologous End Joining Method and Homologous Direct Repair Method. [17] the nucleotides are inserted in the space depending upon the data from previous replication. In late and G2 phases of cell cycle it is active in nature [7].



V. METHODS OF CRISPR-CAS DELIVERY

CRISPR technology has originated as one of the efficient tool of genetic engineering. The greatest challenge in using the CRISPR-Cas technology is the successful delivery of CRISPR components to the target cell. For CRISPR system in order to work efficiently, it should be delivered successfully to the target cell [13]. Numerous techniques have been adapted till now for the delivery of CRISPR components to the target cell. The techniques are developed for the transfer of CRISPR-Cas components are broadly classified into three classes namely viral vectors mediated , physical and non-viral vector mediated delivery methods.

1. **Viral Vector Methods:** Viralvector methods include adeno-associated virus (AAV), adenovirus (AdV),lentivirus(LV) etc. Out of all these techniques, AAV has become more popular. It is extensively used delivery vector. The minimal immunogenicity property of AAV vector method has made it popular. However, it still lags in gene packaging capacity, which is a big problem for CRISPR-Cas system with large molecules. LV and AdV vectors, which can also be used in CRISPR-Cas delivery system which offers better gene packaging capacity in comparison to AAV. The foremost advantage of using LV and AdV vector is its high efficiency in delivery of CRISPR components to the target cell. But the main limitation in using these vectors is they tend to increase the off-target effects causing gene transfer. So, more precautions must be taken in order to use LV and AdV vectors.[13]
2. **Physical Methods:** Physical delivery methods comprise of techniques such as electroporation, microinjection, hydrodynamic injection. These methods involves the use of physical forces for the delivery of CRISPR components. It causes penetration of cell membrane, thus facilitating the entry of CRISPR components into the target cell. Amidst this electroporation is the extensively used technique. Electroporation technique involves the use of high-voltage electric shock in order to stimulate the opening of pores in cell membrane for the entry of CRISPR-Cas components. It is widely accepted technique for in vivo and invitro applications. The main drawback of using this technique is it requires skilled personals and high-voltage shock applied during the process can lead to permanent permeabilization of target cells. Microinjection is one of the prominent technique used for the transport of CRISPR-Cas components into cells. This technique involves using a micro needle for the adequate delivery of CRISPR/Cas components to the cells. In this

method, the CRISPR components are delivered directly to target cell irrespective of biological carriers. It is used for both in vitro and in vivo applications. The main problem associated with this method is technically challenging process and so it requires skilled candidates to perform. Hydrodynamic injection method employs injection for the transfer of solution comprising of CRISPR/Cas components to the specific cell. The sudden increase in the pressure helps in improving the permeability of cells partially thus allowing the entry of CRISPR-Cas components into the target cells. It is highly suitable for in vivo applications because it is not site specific and it may cause trauma to tissues. In comparison to other techniques, hydrodynamic injection method is technically simple to perform. [11]

3. **Non-viral vector methods:** To bypass the side effects of using viral vector mediated delivery methods, vector less methods are employed. It involves the use of different types of materials such as polymers, nanoparticles etc., as vectors for the distribution of CRISPR-Cas components. Out of all materials available, solid lipid nanoparticles is highly used. With the exception of solid lipid nanoparticles, so many other materials such as silica, polymers, dendrimers, chitosan, nanoclusters and lipid particles are being explored for the delivery of CRISPR-Cas components. Non-viral vectors show promising applications within the living system and laboratory based applications.. Despite of its advantages such as high efficacy, high loading capacity, lack of immune response and mutagenesis, it still has some limitations. Complex design requirement, toxicity of compounds and gene transfer efficacy are the main limitations of non-viral vector mediated delivery methods [14]. Over the last few years many strategies are explored to overcome these problems.

To summarize, all the methods has both Pros and Cons. The success of CRISPR does not rely on the method, it depends upon the selection of suitable carriers for delivering CRISPR components. [13]

VI. ETHICAL CONCERNS OF USING CRISPR/CAS 9

Despite the fact that CRISPR-CAS9 technology has drawn much attention since its advent in the 21st century, this technology also rises many new bioethical, social and legal concerns [10]. There are debates going on whether the gene editing should advance or stop doing further research on it as it crosses ethical border. Germline gene editing is forbidden in many countries. In humans, CRISPR focusses on modifying DNA sequences in germ cells. This may lead to changes in human DNA and causes establishment of new generations which would cross the border of bioethics. The fitness of gene editing was questioned by ethics. Gene modification has set of a heated argument. There are still some security issues in CRISPR/Cas9 because of the insufficient work in it and anything wrong would lead to unnecessary consequences in the future. A regulatory system is highly needed to make sure that gene editing is moving ahead safely, ethically to balance risks and benefits, to create regulations for consumers [8]. Regardless of its challenges, CRISPR technology is a striking improvement creating buzz in the 21st century.

VII. APPLICATIONS

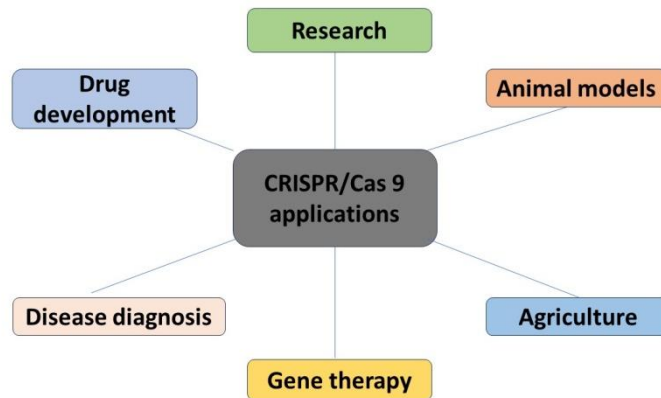


Figure 3: Uses of CRISPR/Cas9 in various fields

CRISPR-Cas9 technology has a numerous uses in various fields such as research, creating animal models, agriculture, gene therapy, disease diagnosis, drug development.

- 1. Role in research:** CRISPR/Cas 9 technology is an efficient means of genetic engineering for basic research. It allows basic research such as studying the functions of genes, analysing the cause of diseases, development of new treatments for diseases, examine new drugs or medicines and vaccines on diseased animal models [10]. Researchers are mining CRISPR/Cas 9 technology to investigate more on its potential applications in the field of research.
- 2. Role in Creation of animal models:** Animal models of human diseases are ideal models for drug discovery. Animal models are required to examine the efficiency, toxicity and assuring the well being of drugs. Anyhow, many disease models that are existing now are far away from real. Animal models help us to know further information about the disease and test developed drugs on these animals [19]. CRISPR/Cas 9 technology has hindered scientists to generate animal disease models more precisely, speedily and cost-effectively.
- 3. Role in Agriculture:** From the advent in the year 2012, CRISPR based gene modification technology created new opportunities in various domains. CRISPR-Cas technology has huge array of applications in agricultural domain. CRISPR/Cas 9 system has number of advantages over traditional agricultural methods. CRISPR/Cas technology is also used to develop crops that are pest resistant, insect resistant, disease resistant [10]. It helps in increasing the yield and nutritional value of crops. CRISPR crops that had already hit the market include CRISPR rice, CRISPR mushroom, CRISPR wheat which has several benefits over traditionally crops [11]. Deep research is still being conducted to develop more such crops.
- 4. Role in Therapeutics:** CRISPR technology has drastically changed the gene editing and it opened the doors for applications in various domains. CRISPR offers therapeutic treatments for various types of diseases such as genetic disorders, neurological disorders, cancer, blood disorders. Scientists are discovering new ways of using this technology to treat diseases. It is used to treat diseases by knocking out the disease-causing genes. It involves treatment of disease by transferring genetic information into cells. CRISPR technology is used to treat various curable and incurable diseases such as sickle cell

disease, cancer, cardiovascular disease etc [10]. There is huge scope for CRISPR in the field of gene therapy in the near future.

- 5. Role in Disease diagnosis:** Apart from gene editing, scientists are analyzing the use of CRISPR in various other fields also. CRISPR caused radical improvements in the field of diagnosis. CRISPR gives better approach to diagnose several diseases. CRISPR has become a life renewing tool. CRISPR dependent molecular diagnostic methods have several plus points over normal diagnostic methods. In order to treat a disease, it should be detected early and precisely. Nevertheless, traditional molecular diagnostics are costly and requires skilled individual. So, CRISPR based diagnostics have several advantages over traditional molecular diagnostic techniques. CRISPR/Cas 9 technology is precise, affordable, rapid. NASBA (Nucleic Acid Sequence-Based Amplification CRISPR cleavage) was the first CRISPR based diagnostic tool developed, that is used to detect zika virus. CRISPR based diagnostics used for diagnosis of diseases such as cancers, cardiovascular diseases etc., The non-rigidity, safety and accuracy of CRISPR system has led to its rapid development mainly in the field of medicine. [18]
- 6. Role in Drug development:** Drug discovery is usually a lengthy process. It takes so many years for drug discovery from the identification of target molecule to the production of drug. CRISPR-Cas 9 technology can be applied in the field of drug discovery. In drug discovery, CRISPR-Cas 9 technology helps in bringing down the timeline for drug discovery. It is used to mimic the effects of drug molecules in disease models. CRISPR-Cas technology will transform the field of drug discovery. [15]
- 7. Challenges:** The key challenges of CRISPR technology include: regulation, safety, cost etc., Gene editing is currently banned in some countries. Current regulations for gene editing will regulate CRISPR based therapies also. The CRISPR technology should be studied well before because anything wrong could lead to unnecessary consequences. CRISPR based technology provides effective treatment for various incurable diseases at a high development cost[3]. Despite of these challenges, CRISPR technology will continue to grow and evolve as a greatest invention of the 21st century.
- 8. Limitations:** Though CRISPR/cas9 is an efficient technique, it still has some limitations existing., which need to be solved first to improve CRISPR technology further. The key limitations of CRISPR technology are off-target effects, immune responses, DNA repair, ethical issues[6].Most of the people claim that CRISPR is inefficient only because of its off-target effect, which presents several issues. Deducing the off-target effect of CRISPR/cas9 is one of the main priorities. Much research is focussing on lowering off-target effect. Finding out the prime concern for the off-target effect is the key way to deduce this. Cas9,which can cut a ss DNA is noticed to lessen the off-target effect[9].The specificity of this specificity is highly dependent on guide RNA. Many bioinformatics related techniques are being developed to bring down the off-target effect[6]. In addition, replacing protein with plasmid and picking out the proper cell type could tremendously reduce Off-target effect.
- 9. Future prospects:** The huge response from researchers and public proves that it definitely has a very bright future ahead. It has a great potential to overcome the hurdles and prove it as a versatile invention of the 21st century. Currently, CRISPR-Cas 9 is used to diagnose various diseases, treat various diseases, develop new drugs. Further studies in

CRISPR-Cas 9 technology has potential to extend its applications in various other fields too. Further studies in CRISPR technology would make it a safe and efficient invention for sure.

VIII. CONCLUSION

Due to wide variety of applications in various fields as a gene editing tool, CRISPR-Cas 9 has brought revolution in the era of gene editing since its origin. The capability of CRISPR-Cas 9 as a gene editing tool has great developmental opportunities in the near future. It is well known gene editing tool as it is faster & cost-effective. It still has to overcome many challenges to prove it as a potential gene editing tool. Further research should be conducted to assess their safety and effectiveness. Despite of challenges and bioethical concerns, CRISPR-Cas 9 has become an extremely powerful booster station of gene editing.

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